

Section 32 Evaluation for the Unitary Plan – (S32 Report Section Number and Subject)

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NB – This template has been updated and includes:

- Further reference to s32 (1)(c) of the RMRB which deals with the level of detail in the evaluation corresponding to the scale and significance of effects anticipated. Guidance has been added in section 1.3 and at the beginning of section 2.0 – Objectives, Policies and Rules
- Changes as a result of a legal review of the old template
- Feedback from the Chief Economist
- Feedback from Bidy Livesy
- Clarity where specific text should be inserted

1 Overview and Purpose

This evaluation should be read in conjunction with Part 1 in order to understand the context and approach for the evaluation and consultation undertaken in the development of the Unitary Plan. (Rather than duplicating what is contained in Part 1, this evaluation should make reference Part 1 and itemise those points specific to this evaluation)

1.1 Subject Matter of this Section *(one paragraph)*

1.2 Resource Management Issue to be Addressed *(could be issue arising out of Legacy Plan or an issue identified in the Auckland Plan, etc. If the rules are not going to change significantly this section can be brief)*

1.3 Significance of this Subject *(one paragraph – be explicit about why this is a significant policy shift) Second paragraph should summarise the scale and significance of the environmental, economic, social and cultural effects anticipated from the implementation of the provisions*

1.4 Auckland Plan *(narrative on what the Auckland Plan says about this subject. If not much, then this section will be very brief - cross references as appropriate to Auckland Plan directions should be given)*

1.5 Current Objectives, Policies, Rules and Methods *(narrative, i.e. don't need to quote or reference specific objectives. The intent is to provide a general description of what there is)*

1.6 Information and Analysis *(narrative explaining what work has been done on the subject matter full details to be given in Sections 3.0 and 5.1)*

1.7 Consultation Undertaken *(narrative, but full details to be given in Section 5.2)*

1.8 Decision-Making *(the decision-making process by which issues have been addressed - narrative, but full details to be given in Section 4.3)*

1.9 Proposed Provisions *(the significant changes to provisions only (include any relevant plan map component) - what is/ is not possible)*

1.10 Reference to other Evaluations This section 32 report should be read in conjunction with the following evaluations:

- *List all relevant evaluation reports*

2 Objectives, Policies and Rules

(NB if there are objectives that are similar, group them in the same analysis. For different objectives complete a separate analysis)

- The level of detail of this evaluation must correspond to the scale and significance of the environmental, economic, social and cultural effects anticipated from the implementation of a provision (including those that have been rolled over).
- Findings of significance should be clearly stated and the process used for determining the scale and significance of effects should be consistently applied or reasons given as to why not. (summary should be covered in section 1.3 above).
- Residual text from the information and analysis section of the old template can be inserted before section 2.1 if it is not reading well in the context of section 5.1

2.1 Objective

The following objectives are proposed:-

Quote Relevant Objective(s)

Appropriateness of the Objective(s)

Explicitly base this on Part 2 of the Act. Better to start with what the Act requires and explain the objective as a response to that, rather than start with the objective and explain what parts of Part 2 relate to it. If quoting Part 2 do this up-front once – do not repeat for each objective

Also refer here to, as relevant, s30 and s31 of the Act – does Council have the functions and powers to achieve the outcome through the objective?

2.1.1 Policies

- List the relevant policies by policy number
- Narrative to explain what the policies say, identifying the methods the policies adopt to achieve the objective(s) (i.e. don't need to quote the policies, only the direction established by them)
- Narrative explaining why the policies are achievable – cover off both efficiency and effectiveness

2.1.2 Rules and other methods

- *Include this sentence* “The proposed provisions are summarised in 1.9 above.”
- Narrative explaining why the rules/provisions are achievable – cover off both efficiency and effectiveness
- Narrative explaining why a certain standard has been adopted (e.g. why is it, say, 4,000m² and not 2,000m², why 4 stories and not 2 stories, etc – this is particularly important where the standard does not follow very clearly from the policy). Where the standard is the same as in the Legacy Plan this narrative can be brief. Changes in standards require the most explanation.

- If there are no rules relevant to the objective/policies, say so.

2.1.3 Costs and Benefits of Proposed Policies and Rules

- This section is likely to refer more to rules than policies, as it is the rules that determine more what the costs and benefits are likely to be.
- Narrative explaining the environmental, social, economic and cultural costs and benefits of the policies/ rules (refer to relevant information/ reports in 4.1). This section is likely to be more qualitative than quantitative, but if there is quantitative material make sure to refer to it.
- Economic, environmental, social and cultural cost/ benefit analysis of the policies/ rules.
- Make specific comment on how the proposed policies/ rules will affect economic growth and employment to be provided or reduced.
- Refer to any analysis that monetises costs and benefits. If there has been no analysis, state that there hasn't been any.

2.1.4 Adequacy of Information and Risk of Not Acting

- *If there is sufficient information on which to act, simply include the sentence "It is considered that there sufficient information on which to base the proposed policies and methods".*
- Does the evidence base and supporting information enable informed and transparent decision making?
- If there is doubt about whether there is sufficient information (e.g. quantitative analysis of costs and benefits), explain the risk of not acting – i.e. even without that information the proposed policies and rules are required for sustainable management).

2.2 Objective (etc, same format as above for each discrete set of objectives)

2.2.1 Policies

2.2.2 Rules

2.2.3 Costs and Benefits of Proposed Policies and Rules

2.2.4 Adequacy of Information and Risk of Not Acting

2.3 Objective (etc, same format as above for each discrete set of objectives)

2.3.1 Policies

2.3.2 Rules

2.3.3 Costs and Benefits of Proposed Policies and Rules

2.3.4 Adequacy of Information and Risk of Not Acting

3 Alternatives

Include the following:-

The proposed preferred alternative is discussed in 2.0 above. The status quo alternative is outlined in 1.5 above.

Alternatives are :(explain what other alternatives examined are)

1. Preferred
 2. Status quo
 - 3.
 - 4.
- Etc.

If there is a significant policy shift then at **least** 1 further alternative should be examined

The table below discusses each alternative compared to the Proposed Alternative

(make sure to compare with the Proposed Alternative)

	Status Quo Alternative	Alternative 1	Alternative 2	Alternative 3 (then 4 etc)
Appropriateness (does it address the issue; is it consistent with RMA Part 2)				
Effectiveness (does it achieve the objective)				
Efficiency (Is it easily implemented)				
Costs (Base this on what is identified in 2.1.3)				
Benefits (Base this on what is identified in 2.1.3)				
Risks (Base this on what is identified in 2.1.4)				

4 Conclusion

Conclusion summarising why the Proposed Alternative is the most appropriate.

5 Record of Development of Provisions

5.1 Information and Analysis

(list all relevant legislation / reports/ analyses, appendices, references, gaps, on-going research and investigations)

5.2 Consultation Undertaken

(all relevant dates/ groups – note, where general rather than specific (which must be listed here), refer to the general consultation part of the s32). Detail feedback and the way this has influenced / resulted in changes / reassessment / alternatives etc.

5.3 Decision-Making

(all relevant dates of political decision-making on this topic – note, where general rather than specific (which must be listed here), refer to the general decision-making process part of the s32)

Be explicit if some decisions still need to be made